# ALDHAM NEIGHBOURHOOD PLAN FOCUSED REVIEW

# Basic Conditions Statement May 2025





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### 1 Introduction

- 1.1 As part of the formal submission of the Aldham Neighbourhood Plan Review, there is a requirement for the Parish Council, as the 'qualifying body' to illustrate that they have complied with a series of 'basic conditions' as set out in the Town & Country Planning Act 1990 (as amended). This Statement accompanies the submission to the local planning authority, Babergh District Council, of the Aldham Neighbourhood Plan Review under Regulation 15 of the Neighbourhood Planning (General) Regulations 2012 ("the Regulations"). Regulation 15 requires a submission neighbourhood development plan to be accompanied by "a statement explaining how the proposed neighbourhood development plan meets the requirements of paragraph 8(2) of Schedule 4B to the 1990 Act".
- 1.2 This statement has been prepared in order to confirm compliance with these basic conditions as follows:
  - Section 2 contains the Regulation 14 Modification Statement
  - Section 3 identifies the legislative requirements for the 'basic conditions'
  - Section 4 identifies how the Plan Objectives and Policies are in general conformity with the National Planning Policy Framework and the Strategic Policies of the adopted Local Plan.
  - Section 5 confirms that the neighbourhood plan is compatible with the Convention Rights.

It is considered that the Neighbourhood Plan complies with the requirements of the basic conditions as set out in Paragraph 8(1)(a) of Schedule 4B of the Act.

1.3 A Neighbourhood Plan for Aldham was formally made by Babergh District Council on 21 January 2020. As a result of the adoption of the Babergh and Mid Suffolk Joint Local Plan (Part 1) in November 2023, the Parish Council made a decision to review the Plan to amend Policy ALD1 – Spatial Strategy. The made Neighbourhood Plan policy was no longer in conformity with the strategic policies of the development plan. No other policies in the made Neighbourhood Plan have been amended, but the Review has provided an opportunity to update the content of the Plan.

## 2. Regulation 14 Modification Statement

- 2.1 This statement is made by Aldham Parish Council ("the Qualifying Body") pursuant to Regulation 14 (a) (v) of the Neighbourhood Planning (General) Regulations as amended by the Neighbourhood Planning (General) and Development Management Procedure (Amendment) Regulations 2016 and 2017.
- 2.2 Regulation 14 (a) (v) applies to a proposal to modify an existing "made" neighbourhood development plan. It requires that "in relation to a modification proposal, a statement setting out whether or not the qualifying body considers that the modifications contained in the modification proposal are so significant or substantial as to change the nature of the neighbourhood development plan which the modification proposal would modify, giving reasons for why the qualifying body is of this opinion".
- 2.3 Government Planning Practice Guidance notes that there are 3 types of modification which can be made to a neighbourhood plan or order. The process will depend on the degree of change which the modification involves:
  - Minor (non-material) modifications to a neighbourhood plan or order are those which would not materially affect the policies in the plan or permission granted by the order. These may include correcting errors, such as a reference to a supporting document, and would not require examination or a referendum.
  - Material modifications which do not change the nature of the plan or order would require
    examination but not a referendum. This might, for example, entail the addition of a design
    code that builds on a pre-existing design policy, or the addition of a site or sites which, subject
    to the decision of the independent examiner, are not so significant or substantial as to change
    the nature of the plan.
  - Material modifications which do change the nature of the plan or order would require examination and a referendum. This might, for example, involve allocating significant new sites for development.

Paragraph: 106 Reference ID: 41-106-20190509

- 2.4 The Aldham Neighbourhood Development Plan was made by Babergh District Council on 21 January 2020. The made Plan contains 16 planning policies covering:
  - the location of development,
  - housing development,
  - allocated sites for housing,
  - affordable housing,
  - housing space standards,

- the designation of a local green space, and
- mitigating the recreational disturbance of development on protected sites.
- 2.5 The Qualifying Body (Aldham Parish Council) proposes to modify the existing made plan to amend Policy ALD 1 Spatial Strategy to bring it into line with the recently adopted Babergh and Mid Suffolk Joint Local Plan Part 1.
- 2.6 The Qualifying Body considers that the nature of the modifications to be not so significant or substantial as to change the nature of the made Plan. It considers that the modifications would require examination but, subject to the decision of the independent examiner, would not require a referendum.

## 3. Legal Requirements

- 3.1 Paragraph 8 of Schedule 4B of the Town and Country Planning Act 1990 (as amended) identifies the "Basic Conditions" that the neighbourhood plan must comply with.
- 3.2 Paragraph 8 (1) states that the examiner must consider:
  - (a) whether the draft neighbourhood development plan meets the basic conditions (see subparagraph (2)),
  - (b) whether the draft neighbourhood development plan complies with the provision made by or under sections 38A and 38B of this Act,
  - (d) whether the area for any referendum should extend beyond the neighbourhood area to which the draft neighbourhood development plan relates, and
  - (e) such other matters as may be prescribed.
- 3.3 Paragraph 8 (2) states that a draft neighbourhood development plan meets the basic conditions if:
  - (a) having regard to national policies and advice contained in guidance by the Secretary of State, it is appropriate to make the neighbourhood development plan,
  - (d) the making of the neighbourhood development plan contributes to the achievement of sustainable development,
  - (e) the making of the neighbourhood development plan is in general conformity with the strategic policies contained in the development plan for the area of the authority (or any part of that area),
  - (f) the making of the neighbourhood development plan does not breach, and is otherwise compatible with, EU obligations, and
  - (g) prescribed conditions are met in relation to the neighbourhood development plan and prescribed matters have been complied with in connection with the proposal for the neighbourhood development plan.

The requirements of Paragraph 8(2(b), (2)(c) (3), (4) and (5) are not of relevance to the compliance with the basic conditions test.

- 3.4 Paragraph 8 (6) stipulates that the examiner is not to consider any matter that does not fall within subparagraph (1) apart from considering whether the draft neighbourhood plan is compatible with the Convention rights.
- 3.5 On 28 December 2018 the Conservation of Habitats and Species and Planning (Various Amendments) (England and Wales) Regulations 2018 came into force. Amongst other things, these Regulations amend the basic condition prescribed in Regulation 32 and Schedule 2 (Habitats) of the Neighbourhood Planning (General) Regulations 2012 (as amended) which stated:

The making of the neighbourhood plan is not likely to have a significant effect on a European site or a European offshore marine site either alone or in combination with other plans or projects.

The Regulations substitute this with a new basic condition which states:

• The making of the neighbourhood development plan does not breach the requirements of Chapter 8 of Part 6 of the Conservation of Habitats and Species Regulations 2017.

## Meeting the Legal Requirements

Compliance with the individual elements of the legal requirements is set out below.

Requirement	Interpretation	NP response
4B 8 (1)(a) Whether the Draft NP meets the Basic Conditions	This requires the NP to demonstrate compliance with paragraph 8(2) of Schedule 4B of the T&CP Act.	This is considered in detail in later sections of this Statement.
4B 8 (1)(b) Whether the Draft Order Complies with Sections 38A & 38B of the Compulsory Purchase Act 2004	This requires the draft order to comply with Sections 38A & 38B of the Compulsory Purchase Act 2004. Section 38A sets out the "Meaning of Neighbourhood Development Plan".	Compliance with Sections 38A and 38B is demonstrated in the following rows.
	Section 38A(1)  "any qualifying body is entitled to initiate a process for the purpose of requiring a Local Planning Authority in England to make a Neighbourhood Development Plan."	The Neighbourhood Plan Review has been submitted by Aldham Parish Council, a qualifying body (as defined in the Localism Act 2011).
	Section 38A(2)  A "Neighbourhood Development Plan is a plan which sets out policies (however expressed) in relation to the development and use of land in the whole or any part of a particular neighbourhood area specified in the plan."	The Neighbourhood Plan Review sets out Policies that relate to the development and use of land for the Neighbourhood Area covering the Parish of Aldham, as designated by Babergh District Council on 14 June 2018. The boundary of the Neighbourhood Area is shown in the NP (Map 1).
	Section 38A, Paragraphs (3) - (12)	These paragraphs are not of relevance to the submission phase of the NP.
	Section 38B1(a)  The Neighbourhood Development Plan "Must specify the period for which it is to have effect."	The plan period of the Neighbourhood Plan Review is from 2018 to 2036.
	Section 38B1(b)  A Neighbourhood Development Plan "may not include provision about development that is excluded development."	The NP does not contain policies relating to excluded development as defined in Section 61K of the Planning and Compulsory Purchase Act 2004
	Section 38B1(c)	The NP does not relate to more than one neighbourhood area. It

Requirement	Interpretation	NP response
	A Neighbourhood Development Plan may not relate to more than one neighbourhood area	solely relates to the Neighbourhood Plan Area as designated by Babergh District Council on 14 June 2018.
	Section 38B(2)  Only one Neighbourhood  Development Plan may be made for each neighbourhood area.	The Aldham Neighbourhood Plan was made by Babergh District Council on 21 January 2020. When made, the Aldham Neighbourhood Plan Review will replace the 2020 Plan.
	Section 38B(3)  If to any extent a policy set out in a Neighbourhood Development Plan conflicts with any other statement or information in the plan, the conflict must be resolved in favour of the policy.	There are no conflicts within the NP
	Section 38B(4)(a)  Regulations made by the Secretary of State may make provisions for "restricting the provision that may be included in Neighbourhood Development Plans about the use of land."  These Regulations are set out in Statutory Instrument 2012 No 637, The Neighbourhood Planning	The Neighbourhood Planning (General) Regulations 2012 have been used to inform the process of making the NP.
	(General) Regulations 2012.  Section 38B(4)(b)  Regulations made by the Secretary of State may make provisions "requiring Neighbourhood Development Plans to include such matters as are prescribed in the Regulations."	A screening process was carried out by the local planning authority to determine whether a Strategic Environment Assessment (SEA) or Habitat Regulations Assessment (HRA) would be required in support of the Neighbourhood Plan Review. In both cases it was considered that the Aldham Neighbourhood Plan Review will not have significant environmental effects and that no European sites would be affected by the policies described in the NP.
	Section 38B, which include paragraphs 38B(4)(c), 5 & 6,	The remaining requirements of Section 38B are not of relevance to this Basic Conditions Statement

Requirement	Interpretation	NP response
4B 8 (1)(d) Whether The	This requires the examiner to	The NP relates solely to land that
Referendum Should Extend	consider whether the area for any	falls within the Parish of Aldham.
Beyond The NP Area	referendum should extend beyond	
	the NP Area to which it relates.	
4B 8 (1)(e) Other Matters 3.28.	This requires the examiner to	There are no other prescribed
	consider such other matters as may	matters.
	be prescribed	
Conservation of Habitats and	These Regulations require an	The SEA Screening Opinion
Species and Planning (Various	Appropriate Assessment of the Plan	concluded that a full SEA was
Amendments) (England and	to be carried out should the SEA	not required. The HRA screening
Wales) Regulations 2018	and HRA Screening Opinion deem	concluded that no potential
	it necessary.	impacts were identified and
		therefore it was not necessary to
		proceed to the Appropriate
		Assessment stage.

## 4. Compliance with Basic Conditions

- 4.1 Paragraph 8(2) of Schedule 4B of the Town and Country Planning Act sets out the basic conditions that must be met by a draft Neighbourhood Plan. Subsections (a), (d), (e), (f), and (g) are of relevance to this statement. The Regulations state that the neighbourhood plan will have met the basic conditions if it:
  - Has regard to national policies and advice contained in guidance issued by the Secretary of State:
  - Contributes to the achievement of sustainable development;
  - Is in general conformity with the strategic policies of the development plan for the area; and
  - Is compatible with European Union (EU) and European Convention on Human Right (ECHR) obligations.

### **National Policy**

- 4.2 The Aldham Neighbourhood Plan Review must have appropriate regard to national policy. The following section describes how the Plan relates to the National Planning Policy Framework (NPPF) which was originally published in 2012 and most recently amended in December 2024. It is the latter version of the NPPF that has been used as the basis to assess the Neighbourhood Plan Review in terms of its regard to national policies. The NPPF is framed around a basic premise of achieving sustainable development, comprising three main dimensions: economic, social and environmental. It requires the planning system to have regard to three overarching objectives:
  - a) "an economic objective to help build a strong, responsive and competitive economy, by ensuring that sufficient land of the right types is available in the right places and at the right time to support growth, innovation and improved productivity; and by identifying and coordinating the provision of infrastructure;
  - b) a social objective to support strong, vibrant and healthy communities, by ensuring that a sufficient number and range of homes can be provided to meet the needs of present and future generations; and by fostering well-designed, beautiful and safe places, with accessible services and open spaces that reflect current and future needs and support communities' health, social and cultural well-being; and
  - c) an environmental objective to protect and enhance our natural, built and historic environment; including making effective use of land, improving biodiversity, using natural resources prudently, minimising waste and pollution, and mitigating and adapting to climate change, including moving to a low carbon economy."
- 4.3 The NPPF contains thirteen topic-based chapters in which more detailed policy guidance is set out for plan making and decision taking. Table 1, below, identifies how the Plan meets the basic condition of having regard to the NPPF by assessing the Plan against the principles for each of the NPPF topic-based chapters.

Table 1 - Compatibility of the Plan's policies against the NPPF Core Principles

NPPF Core Principle	Aldham NP Policies
Plan-led	The Plan defines a positive Vision to plan for and manage change during the plan period
Enhance and improve places	Policy ALD1 – Spatial Strategy Policy ALD2 – Housing Development Policy ALD3 – Land west of Hadleigh Road Policy ALD4 – Land north of The Street Policy ALD5 – Affordable Housing on Rural Exception Sites Policy ALD6 – Local Green Space Policy ALD7 – Recreational Disturbance Avoidance and Mitigation
Economic Development	Policy ALD1 – Spatial Strategy
High quality design and standard of amenity	Policy ALD1 – Spatial Strategy Policy ALD2 – Housing Development Policy ALD3 – Land west of Hadleigh Road Policy ALD4 – Land north of The Street
Climate change and flood risk	Policy ALD1 – Spatial Strategy
Conserving and enhancing the natural environment	Policy ALD1 – Spatial Strategy Policy ALD6 – Local Green Space Policy ALD7 – Recreational Disturbance Avoidance and Mitigation
Using brownfield land	Policy ALD1 – Spatial Strategy
Promoting mixed use	None
Conserving heritage	Policy ALD3 – Land west of Hadleigh Road
Sustainable transport	Policy ALD1 – Spatial Strategy
Health and social and cultural well being	None

How the purpose of the Neighbourhood Plan policies achieve sustainable development

4.4 The table below identifies the purpose of each policy in the Plan and its intended outcome in relation to achieving sustainable development as defined by the NPPF.

ALD1	Purpose	Outcome
EMST1	To provide a strategy for the location of new development within the neighbourhood plan area.	Ensures that new development is located close to existing village facilities and minimises the loss of agricultural land in remote locations.
ALD2	Identifies the amount of new housing growth that will take place in the neighbourhood area and how this growth will be delivered.	Provides certainty in terms of the amount and location of new housing in the village to ensure that the identified housing needs are met.
ALD3	Identifies a site for housing development	Plans positively for the provision of housing.
ALD4	Identifies a site for housing development	Plans positively for new housing
ALD5	Enables affordable housing development to meet local needs	Ensures that, should a need be identified, affordable housing can be provided in accordance with the government's "exception site" policy
ALD6	Designates spaces that meet the NPPF Local Green Space criteria	Protects a space that has an identifiable local importance to the village.
ALD7	Identifies how the impact of development on protected habitats will be mitigated	Contributes to protecting and enhancing the natural environment

#### General conformity with the strategic policies contained in the development plan

- 4.5 The Neighbourhood Plan must demonstrate that it is in general conformity with the development plan for the local authority area concerned. The development plan currently in force is the Babergh and Mid Suffolk Joint Local Plan adopted in November 2023, which replaces most of the saved policies for previous local plans and alterations. A list of live policies is set out on the Babergh DC website.
- 4.6 The Government's Planning Practice Guidance states:

"Strategic policies will be different in each local planning authority area. When reaching a view on whether a policy is a strategic policy the following are useful considerations:

- whether the policy sets out an overarching direction or objective
- whether the policy seeks to shape the broad characteristics of development
- the scale at which the policy is intended to operate
- whether the policy sets a framework for decisions on how competing priorities should be balanced
- whether the policy sets a standard or other requirement that is essential to achieving the wider vision and aspirations in the Local Plan
- in the case of site allocations, whether bringing the site forward is central to achieving the vision and aspirations of the Local Plan
- whether the Local Plan identifies the policy as being strategic"

4.7	The Joint Local Plan identifies which polices are strategic and others that are local, for the purposes of this statement the strategic policies have been assessed. The table below lists the strategic policies in the Joint Local Plan, a link to the Neighbourhood Plan policy (where relevant) and a narrative of conformity of the Neighbourhood Plan with the development plan.

Table 2 - Compatibility of the Plan's policies with the strategic policies of the Local Plan

JLP Strategic Policy	Neighbourhood Plan Policy	Comment
SP01 Housing Needs	ALD1 – Spatial Strategy ALD2 – Housing Development ALD3 – Land west of Hadleigh Road ALD4 – Land north of The Street ALD5– Affordable Housing on Rural Exception Sites	The JLP identifies the minimum housing requirement for each district. The Neighbourhood Plan does not conflict with this requirement and supports development as appropriate to the scale and nature of the village.
SP02 Affordable Housing	ALD5 – Affordable Housing on Rural Exception Sites	The JLP sets a requirement of 35% affordable housing on large sites or 25% on brownfield sites. The Neighbourhood Plan does not conflict with this requirement.
SP03 The sustainable location of new development	ALD1 – Spatial Strategy	The JLP does not identify a hierarchy of settlements across the two districts, this will be covered by the new Local Plan. This policy also relies on new housing development coming forward through neighbourhood plans, extant planning permissions and windfall sites. The Neighbourhood Plan does not conflict with this requirement.
SP04 Provision for Gypsy and Traveller and Travelling Showpeople	No specific policies apply	The Neighbourhood Plan does not conflict with this requirement.
SP05 Employment Land	No specific policies apply	The JLP identifies strategic sites for employment uses. It supports additional employment sites being developed along strategic transport corridors. There are no strategic employment sites in the Neighbourhood Area.
SP06 Retail and Town Centre Uses	No specific policies apply	The JLP policy relates to defined town centres, which does not include Aldham.
SP07 Tourism	No specific policies apply	The JLP supports the development of appropriate tourism enterprises. The Neighbourhood Plan does not conflict with this approach.

JLP Strategic Policy	Neighbourhood Plan Policy	Comment
SP08 Strategic Infrastructure Provision	No specific policies apply	The JLP identifies specific strategic infrastructure projects and requires developments to make community infrastructure levy contributions. The Neighbourhood Plan does not conflict with this requirement.
SP09 Enhancement and Management of the Environment	ALD1 - Spatial Strategy ALD6 - Local Green Space ALD7 - Recreational Disturbance Avoidance and Mitigation	The JLP policy supports the enhancement and management of natural and local environment and provides for the mitigation of effects on protected habitat sites. The Neighbourhood Plan policies support the strategic policy.
SP10 Climate Change	No specific policies apply	The JLP policy sets requirements for the mitigation of the impacts of climate change. The Neighbourhood Plan does not conflict with this requirement.

## 5. Compatibility with European Union Obligations and Human Rights

#### **Environmental Impact and Habitat Regulations**

- 5.1 Section 4B 8 (2)(f) states that a draft Neighbourhood Plan will meet the basic conditions if, the making of the Neighbourhood Plan does not breach, and is otherwise compatible with EU obligations and the making of the neighbourhood plan is not likely to have a significant effect on a European site or a European offshore marine site, either alone or in combination with other plans or projects.
- 5.2 European Union Directive 2001/42/EC requires a Strategic Environmental Assessment (SEA) to be undertaken for certain types of plan or programmes that would have a significant environmental effect. The Environmental Assessment of Plans and Programmes Regulations 2004 (the Regulations) that this is to be determined by a screening process which should use a specified set of criteria (set out in Schedule 1 of the Regulations). The results of this process must be set out in an SEA Screening Statement, which must be publicly available.
- 5.3 In accordance with Regulation 9 of the Regulations, as amended by the Conservation of Habitats and Species and Planning (Various Amendments) (England and Wales) Regulations 2018, Aldham Parish Council requested Babergh District Council, as the responsible body, to consider whether an environmental assessment of the emerging Neighbourhood Plan Review is required. Initial screening was undertaken on the original draft Neighbourhood Plan in February 2019 and determination notices were issued in April 2019. The screening concluded that a Strategic Environmental Assessment (SEA) and/or a Habitats Regulation Assessment (HRA) were not required.
- 5.4 In May 2024 the Parish Council requested the screening of those parts of the Plan that were being amended. Determination notices were issued in June 2024 which concluded that a full HRA and SEA were not required.
  - All reports together with the responses from the statutory consultees can be viewed at <a href="https://www.babergh.gov.uk/w/aldham-neighbourhood-plan">https://www.babergh.gov.uk/w/aldham-neighbourhood-plan</a>
- 5.5 In line with Regulation 15 of the Neighbourhood Planning (General) Regulations 2012 (as amended) these documents are also submitted to Babergh District Council alongside the Neighbourhood Development Plan.

#### **Human Rights**

- 5.6 The public consultation process for the Aldham Neighbourhood Plan Review has been fully inclusive and has included direct engagement with the local community. The policies contained within the plan do not disadvantage any minority group or group with protected characteristics.
- 5.7 The overall purpose of the Neighbourhood Development Plan is to improve the quality of life for people living and working now and in the future in the parish from an environmental, social and economic point of view. The aims and policies in the Neighbourhood Plan have been formulated in response to local people's views and in the light of evidence gathered for the parish, and to meet the needs expressed and address the issues identified. To confirm that the Neighbourhood Plan does not have any unintended consequences for particular groups, the strategic aims and the policies in the Plan have been systematically scrutinised to ensure that they do not disadvantage any potentially vulnerable groups. The Equality Act 2010 places a duty on all public authorities in the exercise of their functions to have regard to the need to eliminate discrimination, to advance equality of opportunity, and to foster good relations between

- persons who have a "protected characteristic" and those who do not. "Protected characteristics" are age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, sex, and sexual orientation.
- 5.8 This section assesses the Submission Draft of the Neighbourhood Plan to ensure that Aldham Parish Council is satisfying its statutory duties in this regard. An assessment has been made on whether the policies in the Neighbourhood Plan have a positive, negative or neutral impact on each of the protected characteristics. The purpose of each of the objective and the policies in the Neighbourhood Plan are provided, and their likely impact on persons with protected characteristics is assessed.

Table 3 - Impact of Aldham Neighbourhood Plan Review Policies on Persons with Protected Characteristics

Policy	Purpose	Outcome
ALD1	To set a strategy for where new development will take place in the village.	Ensures that Aldham plans positively for the amount and location of new development required in the village during the plan period.
		Broadly positive impact for persons with certain protected characteristics.
ALD2	Identifies how the housing need for the	Ensures that Aldham meets its identified housing need.
	plan period will be delivered.	Broadly positive impact for persons with certain protected characteristics.
ALD3	Allocates a site for housing development	Contributes to meeting identified housing need in the village.
		Broadly positive impact for persons with certain protected characteristics.
ALD4	Allocates a site for housing development	Contributes to meeting identified housing need in the village.
		Broadly positive impact for persons with certain protected characteristics.
ALD5	Provides a mechanism for delivering	Enables the provision of affordable housing.
	affordable housing to meet identified local needs	Broadly positive impact for persons with certain protected characteristics.
ALD6	Identifies and designates a Local Green Space.	Ensures that locally identified green spaces that meet the national criteria are protected from inappropriate development.
		Broadly positive impact for persons with certain protected characteristics.

Policy	Purpose	Outcome
ALD7	Ensures that any residential development does not	Protected internationally significant sites from disturbance arising from development.
	have detrimental impacts through recreational disturbance of internationally significant sites.	Neutral impact on persons with protected characteristics.

Certain protected characteristics are not affected by the Neighbourhood Plan, namely gender reassignment, race, religion, or sexual orientation. For persons with these characteristics, the Plan has a neutral effect. Where policies in the Plan would adversely affect persons with these protected characteristics, they would not be differently affected than persons without these characteristics. For example, if a person with these protected characteristics were disadvantaged by a policy, it would have the same consequence for a person without the protected characteristic who wished to do the same thing. Similarly, if a person with one of these protected characteristics were to benefit from a policy, it would have the same benefit for a person without the protected characteristic in the same circumstances.